

LMS CERTIFICATIONS FZE LLC		Public Information Document (PID)	
Section Name	Management of Impartiality		
Section No.: PID – 05	Issue No. 01	Issue Date 01-11-2015	
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AMENDMENT RECORD SHEET

Issue	Affected sections	Description	Approved
1.0	4.0	Ownership	Managing Director
1.0	All	Organization Name & Address Change	Managing Director

This manual is a controlled document. Head Office will re-issue the entire manual with new amendments from time to time; the obsolete versions of the manual must be destroyed or marked OBSOLETE. Please return signed transmittal notes as soon as possible.

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1.0 Purpose

Define the Requirement of management of impartiality. Process steps and reporting Criteria for recommendations for continuation of certificate of compliance and conditions and Maintain records

2.0 Scope

Applicable for all the LMS certified for Management system.

3.0 Responsibility and Authority

Director

4.0 Procedure

Sr. No.	Activity	Threats	Action /Mitigation
01	Ownership	<p>Common ownership:</p> <p>LMS CERTIFICATIONS FZE LLC</p> <p>QEC India</p> <p>LMS Certifications Pvt. Ltd.</p> <p>Staunchly Management & System Services Private Limited, Lucknow</p>	<p>LMS Certifications FZE LLC is a CAB. It not effects the business or other proceedings however ownership is similar and Mr. Pushpendr Singh Gaur (MD-LMS) is also MD of LMS Certifications FZE LLC. It's client's choice.</p> <p>QEC India is the sole representation of QEC Ltd. (UK) which is an UKAS Accredited CB. It not effects the business or other proceedings however ownership is similar and Mr. Pushpendr Singh Gaur (MD-LMS) is Proprietor of QEC INDIA. It's client's client choice about accreditations.</p> <p>LMS Certifications Pvt. Ltd is a DAC and KAB Accredited CB. It not effects the business or other proceedings however ownership is similar and Mr. Pushpendr Singh Gaur (MD-LMS) is also MD of LMS CERTIFICATIONS FZE LLC It's client's choice.</p> <p>Staunchly Management & System Services Private Limited, Lucknow, which is an EGAC Accredited CB (Applied). It not effects the business or other proceedings however ownership is similar and Mr. Pushpendr Singh Gaur (MD-LMS) is also MD of SMS. It's client's client choice about accreditations.</p>

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		LMS Training Private Limited	LMS Academy is a Training Organization which offers different Management System Lead Auditor Training Programs in cooperation with IQCS Malaysia, Accredited with IRCA. It's open program and LMS is not offering for any other company as in house training. All Reports are reviewed by independent Reviewer and also declaration is signed by the Tutors/Auditors teams about the same.
02	Management	Biasness Business Consultancy	No management member will be involved in the audit teams. No Management system consultancy, hazard analysis consultancy , FSMS consultancy will be provided by the LMS When a relationship poses an unacceptable threat to impartiality (such as a wholly owned subsidiary of the certification body requesting certification from its parent), then certification shall not be provided and LMS ensured that an auditor who is an employee of the organization that provided consultancy as listed above to the auditee is not permitted to audit that organization until two years after the consultancy was completed and Form 03 Work order signed by auditor or technical expert.
03	Sister Concern or Related Organization	Biasness Consultancy Commercials	Any person or sister concern related to LMS Director or Committee Members will not involved in Audit or Certificate decision process and they will also sign a declaration for impartiality and they will not try to influence any certificate activity in any way.
04	Personnel	Biasness Consultancy Commercials	the top Management is committed and takes measure in order that if relationship of the person of his employer that involved in the certification organization to be is audited is found to pose an unacceptable threat to impartiality and is to extent to which impartiality could be promised then he certification body immediately cease the environment of that particular person of or his employer in any certification activity of the organization to be audited. LMS will take appropriate action against any threat to impartially arising from actions of other persons. This could result in not accepting a client for certification, not using a particular auditor or removing an auditor or agency from approved status. All LMS personnel, either internal or external, or committees, who could

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			influence the certification activities, shall act impartially and shall not allow commercial, financial or other pressures to compromise impartiality.
05	Others	Biasness Consultancy Commercials	Consultant Register is prepared and all consultants which provides the business is enrolled in the same and impartiality committee ensures that impartiality
		involved in the design, manufacture, construction, marketing, installation, servicing or supply of the medical device;	the top Management is committed and takes measure in order that if relationship of the person of his employer that involved in the certification organization to be is audited is found to pose an unacceptable threat to impartiality and is to extent to which impartiality could be promised then he certification body immediately cease the environment of that particular person of or his employer in any certification activity of the organization to be audited. LMS will take appropriate action against any threat to impartially arising from actions of other persons. This could result in not accepting a client for certification, not using a particular auditor or removing an auditor or agency from approved status.
		involved in the design, construction, implementation or maintenance of the quality management system being audited;	All LMS personnel, either internal or external, or committees, who could influence the certification activities, shall act impartially and shall not allow commercial, financial or other pressures to compromise impartiality.
		an authorized representative of the client organization, nor represent the parties engaged in these activities.	
		The situations hereafter are examples where impartiality is compromised in reference to the criteria defined in a) to c):	
		the auditor having a financial interest in the client organization being audited (e.g. holding stock in the organization)	the top Management is committed and takes measure in order that if relationship of the person of his employer that involved in the certification organization to be is audited is found to pose an unacceptable threat to impartiality and is to extent to which impartiality could be promised then he certification body immediately cease the environment of that particular person of or his employer in any certification activity of the organization to be audited.
		the auditor being employed currently by a manufacturer producing medical devices	LMS will take appropriate action against any threat to impartially arising from actions of other persons. This could result in not accepting a client for certification, not using a particular auditor or removing an auditor or agency from approved status.
		the auditor being a member of staff from a research or medical institute or a consultant having a commercial contract or equivalent interest with the manufacturer or manufacturers of similar medical	All LMS personnel, either internal or external, or committees, who could influence the certification activities, shall

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		devices	act impartially and shall not allow commercial, financial or other pressures to compromise impartiality.
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